

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER SHG-043P2-319 / OSP-19470 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 307,200,541
INTERNATIONAL APPLICATION NO. PCT/JP2004/011286	INTERNATIONAL FILING DATE July 30, 2004	PRIORITY DATE CLAIMED July 31, 2003
TITLE OF INVENTION CHEWABLE CAPSULE AND PRODUCTION METHOD THEREOF		
APPLICANT(S) FOR DO/EO/US Asano et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Executed) 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (5 References) 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. (Executed) 13. <input type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S.

IAP20 Rec'd PCT/PTO 27 JAN 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; text-align: center;">10/566541</div>		INTERNATIONAL APPLICATION NO. <div style="text-align: center;">PCT/JP2004/011286</div>		ATTORNEY'S DOCKET NUMBER <div style="text-align: center;">SHG-043P2-319 / OSP-19470</div>	
20. Other items or information:					
The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300				\$ 300	
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations.....\$200				\$ 200	
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400 All other situations.....\$500				\$ 400	
TOTAL OF 21, 22 and 23 =				\$900	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	13 - 20 =		x \$ 50	\$ 0	
Independent claims	1 - 3 =		x \$200	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360	\$ 360
TOTAL OF ABOVE CALCULATIONS =				\$	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$ 1,260	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
TOTAL NATIONAL FEE =				\$ 1,260	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40	
TOTAL FEES ENCLOSED =				\$ 1,300	
				Amount to be refunded:	\$
				Amount to be charged	\$


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- a. ☒ A check in the amount of \$ \$1,300 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3000. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

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SIGNATURE
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REGISTRATION NUMBER

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/566541	INTERNATIONAL APPLICATION NO. PCT/JP2004/011286	ATTORNEY'S DOCKET NUMBER SHG-043P2-319/ OSP- 19470
20. Other items or information: <p style="text-align: center;">CHEWABLE CAPSULE AND PRODUCTION METHOD THEREOF</p>		

Fifteen Additional Items of Information:

1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (Form PTO-1390) (In duplicate)
2. Certificate of Express Mailing No. EV791973630US
3. Copy of PCT Request dated 7/30/04 (Form PCT/RO/101)
4. Copy of International Search Report and Written Opinion from International Search Authority (Form PCT/ISA/220 & 237)
5. Copy of Published PCT Application WO 2005/011402 A1
6. Copy of Notification of Receipt of Record Copy (Form PCT/IB/301)
7. Copy of Notification Concerning Submission or Transmittal of Priority Document (Form PCT/IB/304)
8. Copy of First Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (Form PCT/IB/308)
9. Copy of Second and Supplementary Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (Form PCT/IB/308)
10. Copy of PCT Demand (Form PCT/IPEA/401)
11. Copy of Notification of Receipt of the Demand Under Chapter II of the PCT (Form PCT/IPEA/402)
12. Copy of Information Concerning Elected Offices Notified Of Their Election (Form PCT/IB/332)
13. Copy of International Preliminary Examination report (Form/PCT/IPEA/409)
14. Copy of Notification of Transmittal of International Preliminary Report on Patentability (Form PCT/IPEA/416)
15. Return Postcard

PATENT

In re application of: Asano et al.
International Application No.: PCT/JP2004/011286
International Filing Date: July 30, 2004
Priority Date Claimed: July 31, 2003

1AP20R034757770 27 JAN 2006

Title: **CHEWABLE CAPSULE AND PRODUCTION METHOD THEREOF**

Attorney Docket: SHG-043P2-319

Cincinnati, Ohio 45202

January 27, 2006

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF EXPRESS MAILING

Enclosures: Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (Form PTO-1390) (In duplicate); Certificate of Express Mailing No. EV791973630US; Copy of PCT Request dated 7/30/04 (Form PCT/RO/101); Copy of Search Report and Written Opinion from International Search Authority; Copy of Published PCT Application WO 2005/011402 A1); Copy of Notification of Receipt of Record Copy (Form PCT/IB/301); Copy of Notification Concerning Submission or Transmittal of Priority Document (Form PCT/IB/304); Copy of First Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (Form PCT/IB/308); Copy of Second and Supplementary Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (Form PCT/IB/308); Copy of PCT Demand (Form PCT/IPEA/401); Copy of Notification of Receipt of the Demand Under Chapter II of the PCT (Form PCT/IPEA/402); Copy of Information Concerning Elected Offices Notified Of Their Election (Form PCT/IB/332); Copy of International Preliminary Examination report (Form PCT/IPEA/409); Copy of Notification of Transmittal of International Preliminary Report on Patentability (Form PCT/IPEA/416); Information Disclosure Statement (Form PTO-1449) with 5 references attached; Recordation Form Cover Sheet and Executed Assignment; Executed Declaration, Power of Attorney & Petition; check in the amount of \$1,300.00 for the Filing Fee; and Return Postcard

"Express Mail" Mailing Label No. EV791973630US

Date of Deposit: January 27, 2006

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO MAIL STOP PCT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

Kenneth Eads

Name of Person Mailing Paper or Fee

Kenneth Eads

Signature of Person Mailing Paper or Fee